### **BEFORE THE ARIZONA MEDICAL BOARD**

TRISTRAM G. HORTON, M.D.

In the Matter of

Holder of License No. **45637**For the Practice of Allopathic Medicine
In the State of Arizona.

Case No. MD-14-0169A

INTERIM CONSENT AGREEMENT FOR PRACTICE RESTRICTION

#### **INTERIM CONSENT AGREEMENT**

Tristram G. Horton, M.D. ("Respondent"), elects to permanently waive any right to a hearing and appeal with respect to this Interim Consent Agreement for Practice Restriction and consents to the entry of this Order by the Arizona Medical Board ("Board").

## **INTERIM FINDINGS OF FACT**

- 1. The Board is the duly constituted authority for the regulation and control of the practice of allopathic medicine in the State of Arizona.
- 2. Respondent is the holder of License No. 45637 for the practice of allopathic medicine in the State of Arizona.
- 3. The Board initiated case number MD-14-0169A after receiving a report that Respondent had been asked to refrain from practice during an investigation of possible medical incompetence at Respondent's employing hospital.
- 4. Respondent presented for a comprehensive physician assessment at the University of California San Diego Physician Assessment and Clinical Education ("PACE") Program on April 6-8, 2015. On July 7, 2015, the Board received the report from Respondent's PACE assessment. The PACE evaluators expressed concern regarding Respondent's ability to safely and independently practice as an endoscopic neurosurgeon. Specifically, the PACE report identified specific procedures that Respondent was safe to practice independently, specific procedures that Respondent could perform under

supervision of a proctor for a period of time, and specific procedures that are beyond Respondent's current scope of practice.

- 5. The aforementioned information was presented to the investigative staff, the medical consultant and the lead Board member. All reviewed the information and concur that the interim consent agreement to restrict Respondent's practice is appropriate.
- 6. The investigation into this matter is pending and will be forwarded to the Board promptly upon completion for review and action.

#### **INTERIM CONCLUSIONS OF LAW**

- The Board possesses jurisdiction over the subject matter hereof and over Respondent.
- 2. Pursuant to A.R.S. § 32-1405(C)(25) the Executive Director has authority to enter into a consent agreement when there is evidence of danger to the public health and safety.
- 3. Pursuant to A.A.C. R4-16-504, the Executive Director may enter into an interim consent agreement when there is evidence that a restriction is needed to mitigate imminent danger to the public's health and safety. Investigative staff, the Board's medical consultant and the lead Board member have reviewed the case and concur that an interim consent agreement is appropriate.

#### INTERIM ORDER

#### IT IS HEREBY ORDERED THAT:

- 1. Respondent's ability to practice medicine in the State of Arizona as set forth in A.R.S. § 32-1401(22) is restricted as set forth in paragraphs 2 and 3, below, until the restriction is released and/or modified pursuant to paragraph 5, below.
- 2. Respondent's independent endovascular neurosurgery practice shall be limited to craniotomy for trauma, spinal decompression (laminectomy or anterior cervical

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decompression) and fusion ("ADCF"), craniotomy for simple, supratentorial tumor, ventriculoperitoneal shunt, diagnostic cerebral angiography and mechanical thrombectomy for large vessel ischemic stroke.

- 3. Prior to performing any of the following procedures, Respondent shall obtain a Board-approved proctor ("Proctor") to monitor a minimum of five cases each. Respondent shall not perform these procedures independently until the Proctor certifies to the Board that Respondent no longer requires proctoring. This applies to the following: carotid endarterectomy, carotid stenting, coil embolization of intracranial aneurysm, clip ligation of aneurysm, liquid embolic embolization of arteriovenous malformation ("AVM") and instrumented spinal fusion.
- 4. Respondent is prohibited from performing the following procedures as the primary surgeon: craniotomy for AVM resection, any pediatric neurovascular case, extracranial-intracranial bypass and complex aneurysm treatment.
- 5. Respondent may request, in writing, release and/or modification of this Interim Consent Agreement as set forth in this Interim Consent Agreement. The Executive Director, in consultation with and agreement of the lead Board member and the Chief Medical Consultant, has the discretion to determine whether it is appropriate to release Respondent from this Interim Consent Agreement.
- The Board retains jurisdiction and may initiate new action based upon any violation of this Interim Consent Agreement, including, but not limited to, summarily suspending Respondent's license.
- Because this is an Interim Consent Agreement and not a final decision by 7. the Board regarding the pending investigation, it is subject to further consideration by the Board. Once the investigation is complete, it will be promptly provided to the Board for its review and appropriate action.

8.	This Interim	Consent Agreement	shall be	effective	on the	date signe	d by the
Board's Exec	utive Director	r.					

DATED this 13 day of July, 2015.

ARIZONA MEDICAL BOARD

Patricia E. McSorley
Executive Director

# **RECITALS**

Respondent understands and agrees that:

- 1. The Board, through its Executive Director, may adopt this Interim Consent Agreement, or any part thereof, pursuant to A.R.S. § 32-1405(C)(25) and A.A.C. R4-16-504.
- 2. Respondent has read and understands this Interim Consent Agreement as set forth herein, and has had the opportunity to discuss this Interim Consent Agreement with an attorney or has waived the opportunity to discuss this Interim Consent Agreement with an attorney. Respondent voluntarily enters into this Interim Consent Agreement and by doing so agrees to abide by all of its terms and conditions.
- 3. By entering into this Interim Consent Agreement, Respondent freely and voluntarily relinquishes all rights to an administrative hearing on the matters set forth herein, as well as all rights of rehearing, review, reconsideration, appeal, judicial review or any other administrative and/or judicial action, concerning the matters related to the Interim Consent Agreement.
- 4. Respondent understands that this Interim Consent Agreement does not constitute a dismissal or resolution of this matter or any matters that may be currently

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pending before the Board and does not constitute any waiver, express or implied, of the Board's statutory authority or jurisdiction regarding this or any other pending or future investigations, actions, or proceedings. Respondent also understands that acceptance of this Interim Consent Agreement does not preclude any other agency, subdivision, or officer of this State from instituting civil or criminal proceedings with respect to the conduct that is the subject of this Interim Consent Agreement. Respondent further does not relinquish his rights to an administrative hearing, rehearing, review, reconsideration, judicial review or any other administrative and/or judicial action, concerning the matters related to a final disposition of this matter, unless he affirmatively does so as part of the final resolution of this matter.

- 5. Respondent acknowledges and agrees that upon signing this Interim Consent Agreement and returning it to the Board's Executive Director, Respondent may not revoke his acceptance of this Interim Consent Agreement or make any modifications to it. Any modification of this original document is ineffective and void unless mutually approved by the parties in writing.
- 6. Respondent understands that this Interim Consent Agreement shall not become effective unless and until it is signed by the Board's Executive Director.
- 7. Respondent understands and agrees that if the Board's Executive Director does not adopt this Interim Consent Agreement, he will not assert in any future proceedings that the Board's consideration of this Interim Consent Agreement constitutes bias, prejudice, prejudgment, or other similar defense.

- 8. Respondent understands that this Interim Consent Agreement is a public record that may be publicly disseminated as a formal action of the Board, and that it shall be reported as required by law to the National Practitioner Data Bank.
- 9. Respondent understands that this Interim Consent Agreement does not alleviate his responsibility to comply with the applicable license-renewal statutes and rules. If this Interim Consent Agreement remains in effect at the time Respondent's allopathic medical license comes up for renewal, he must renew his license if Respondent wishes to retain his license. If Respondent elects not to renew his license as prescribed by statute and rule, Respondent's license will not expire but rather, by operation of law (A.R.S. § 32-3202), become suspended until the Board takes final action in this matter. Once the Board takes final action, in order for Respondent to be licensed in the future, he must submit a new application for licensure and meet all of the requirements set forth in the statutes and rules at that time.
- 10. Respondent understands that any violation of this Interim Consent Agreement constitutes unprofessional conduct under A.R.S. § 32-1401(27)(r) ("[v]iolating a formal order, probation, consent agreement or stipulation issued or entered into by the board or its executive director under this chapter").
- 11. Respondent understands and agrees to the terms of this Interim

  Consent Agreement.

July 13, 2015

TRISTRAM G. NORTON, M.D.

EXECUTED COPY of the foregoing e-mailed this 13 day of \_\_\_\_\_\_\_, 2015 to:

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1	Robert J. Milligan and Kylie Mote
2	Milligan Lawless, PC 5050 N 40 <sup>th</sup> Street, Suite 200
	Phoenix, AZ 85015 Attorney for Respondent
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4	ORIGINAL of the foregoing filed this 13 day of, 2015 with:
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6	Arizona Medical Board 9545 E. Doubletree Ranch Road
7	Scottsdale, AZ 85258
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8	Arizona Medical Board Staff
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